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TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	Application Number	10/697,906	
	Filing Date	October 29, 2003	
	First Named Inventor	Albert K. Chin	
	Group Art Unit Number	3731	
	Examiner Name	Not Yet Known	
Total Number of Pages in This Submission	12*	Attorney Docket Number	23488-07961

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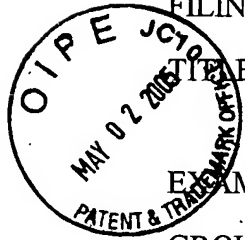
REMARKS: *Page count does not include cited references.

SIGNATURE OF ATTORNEY OR AGENT		
Signature:		
Attorney/Reg. No.:	Albert C. Smith, Reg. No. 20,355	Dated: 4/28/05

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Albert K. Chin et al.
APPLICATION NO.: 10/697,906
FILING DATE: October 29, 2003
TITLE: Apparatus And Method For Endoscopic Cardiac Mapping And Lead Placement
EXAMINER: Not Yet Known
GROUP ART UNIT: 3731
ATTY. DKT. NO.: 23488-07961



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

Concise explanations in accordance with the provisions of 37 CFR § 1.98 of the portions of the references not in the English language believed to be relevant are as follows:

B1 – The figure and associated text of this reference are believed to disclose an intravascular device for performing vascular surgery under endoscopic viewing.

B5 – Figure 2 and the associated written description are believed to disclose an endoscopic device for optically examining an orifice or cavity in a patient.

B8 – Figures 1 and 2 and the associated text of this reference are believed to disclose a surgical device on a flexible extension.

B9 – The figures and associated text of this reference are believed to disclose an endoscopic device for penetrating tissue in the leg of a patient.

C4 – Figures 1 and 5-7 and the associated written descriptions are believed to disclose subxiphoid access to the pericardium for penetration of the pericardium to install electrodes for an implantable pacemaker onto the myocardium.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;

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- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND
 - ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. _____, filed on _____, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☒ Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).

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☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
ALBERT K. CHIN ET AL.

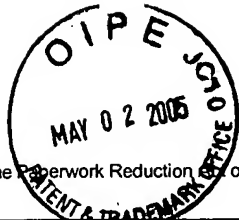
Dated: _____

4/28/05

By: _____

A.C. Smith

Albert C. Smith, Reg. No.: 20,355
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PTO/SB/08A (10-01)
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application No.	10/697,906
Filing Date	October 29, 2003
First Named Inventor	Albert K. Chin
Art Unit	3731
Examiner Name	Not Yet Known
Attorney Docket Number	23488-07961

Sheet 1 of 7

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-6,706,052	03-16-2004	Chin
	A2	US-6,607,547	08-19-2003	Chin
	A3	US-6,569,082	05-27-2003	Chin
	A4	US 6,488,689	12-03-2002	Kaplan et al.
	A5	US-6,428,556	08-06-2002	Chin
	A6	US-6,346,074	02-12-2002	Roth
	A7	US-6,237,605	05-29-2001	Vaska et al.
	A8	US-6,206,004	03-27-2001	Schmidt et al.
	A9	US-6,156,009	12-05-2000	Grabek
	A10	US-6,095,968	08-01-2000	Snyders
	A11	US-6,085,754	07-11-2000	Alfermess et al.
	A12	US-6,080,174	06-27-2000	Dubrui et al.
	A13	US-6,077,218	06-02-2000	Alfermess
	A14	US-6,039,748	03-21-2000	Savage et al.
	A15	US-6,036,714	03-14-2000	Chin
	A16	US-6,030,406	02-29-2000	Davis et al.
	A17	US-5,972,010	10-26-1999	Taheri
	A18	US-5,957,880	09-28-1999	Igo et al.
	A19	US-5,957,835	09-28-1999	Anderson et al.
	A20	US-5,931,810	08-03-1999	Grabek
	A21	US-5,904,711	05-18-1999	Flom et al.
	22	US-5,900,433	05-04-1999	Igo et al.
	A23	US-5,860,997	01-19-1999	Bonutti
	A24	US-5,810,878	09/22/1998	Burel et al.
	A25	US-5,800,449	09/01/1998	Wales

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

23488/07961/DOCS/1522873.1

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				Application No.	10/697,906
				Filing Date	October 29, 2003
				First Named Inventor	Albert K. Chin
				Art Unit	3731
				Examiner Name	Not Yet Known
Sheet	2	of	7	Attorney Docket Number	23488-07961

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A26	US-5,797,946	08-25-1998	Chin
	A27	US-5,772,680	06-30-1998	Kieturakis et al.
	A28	US-5,762,604	06.09.1998	Kieturakis
	A29	US-5,738,628	04-14-1998	Sierocuk et al.
	A30	US-5,730,756	03-24-1998	Kieturakis
	A31	US-5,725,492	03-10-1998	Igo et al.
	A32	US-5,707,390	01-13-1998	Bonutti
	A33	US-5,702,417	12-30-1997	Hermann
	A34	US-5,702,343	12-30-1997	Alferness
	A35	US-5,695,504	12-09-1997	Gifford, III et al.
	A36	US-5,685,820	11-11-1997	Riek et al.
	A37	US-5,681,278	10-28-1997	Igo et al.
	A38	US-5,667,520	09-16-1997	Bonutti
	A39	US-5,653,726	08-05-1997	Kieturakis
	A40	US-5,653,722	07-1997	Kieturakis
	A41	US-5,650,447	07-22-1997	Keefer et al.
	A42	US-5,634,895	06-03-1997	Igo et al.
	A43	US-5,613,947	03-25-1997	Chin
	A44	US-5,613,937	03-25-1997	Garrison et al.
	A45	US-5,607,441	03-04-1997	Sierocuk et al.
	A46	US-5,601,589	02-11-1997	Fogarty et al.
	A47	US-5,601,576	02/11/1997	Garrison
	A48	US-5,591,192	01-07-1997	Privitera et al.
	A49	US-5,569,292	10-29-1996	Scwemberger et al.
	A50	US-5,569,291	10-29-1996	Privitera et al.

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				Application No.	10/697,906
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				First Named Inventor	Albert K. Chin
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Sheet	3	of	7	Attorney Docket Number	23488-07961

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A51	US-5,551,947	09-03-1996	Kaali
	A52	US-5,540,711	07-30-1996	Kieturakis et al.
	A53	US-5,514,153	05-07-1996	Bonutti
	A54	US-5,496,345	03-05-1996	Kieturakis et al.
	A55	US-5,482,925	01-09-1996	Hutsell
	A56	US-5,376,076	12-27-1994	Kaali
	A57	US-5,373,840	12-20-1994	Knighton
	A58	US-5,339,801	08-23-1994	Poloyko et al.
	A59	US-5,334,150	08-02-1994	Kaali
	A60	US-5,331,975	07-26-1994	Bonutti
	A61	US-5,271,380	12-21-1993	Riek et al.
	A62	US-5,256,132	10-26-1993	Snyders
	A63	US-5,215,521	06-01-1993	Cochran et al.
	A64	US-5,183,464	02-02-1993	Dubrul et al.
	A65	US-5,163,949	11-1992	Bonutti
	A66	US-5,150,706	09-29-1992	Cox et al.
	A67	US-5,143,082	09-01-1992	Kindberg et al.
	A68	US-5,131,905	07-21-1992	Grooters
	A69	US-4,957,477	09-18-1990	Lundback
	A70	US-4,921,483	05-01-1990	Wijay et al.
	A71	US-4,863,440	09-05-1989	Chin
	A72	US-4,784,133	11-15-1988	Mackin
	A73	US-4,779,611	10-25-1988	Grooters et al.
	A74	US-4,662,371	05-05-1987	Whipple et al.
	A75	US-4,630,609	12-23-1986	Chin

Examiner Signature		Date Considered	
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				Application No.	10/697,906
				Filing Date	October 29, 2003
				First Named Inventor	Albert K. Chin
				Art Unit	3731
				Examiner Name	Not Yet Known
Sheet	4	of	7	Attorney Docket Number	23488-07961

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	A76	US-4,526,175	07-02-1985	Chin et al.
	A77	US-4,493,711	01-15-1985	
	A78	US-4,479,497	10-30-1984	Fogarty et al.
	A79	US-4,318,410	03-09-1982	Chin
	A80	US-4,271,839	06-09-1981	Fogarty et al.
	A81	US-4,270,549	06-02-1981	Heilman
	A82	US-RE29,088	12-28-1976	Shaw
	A83	US-3,934,115	01-20-1976	Peterson
	A84	US-3,882,854	05-13-1975	Hulka et al.
	A85	US-3,856,016	12-24-1974	Davis
	A86	US-3,357,433	12-12-1967	Fourestier et al.
	A87	US-3,336,916	08-22-1967	Edlich
	A88	US-3,185,155	05-25-1965	Slaten et al.
	A89	US-2,944,552	07-12-1960	Cannon
	A90	US-2,868,206	01-13-1959	Stoesser
	A91	US-2,316,297	04-13-1943	Southerland et al.
	A92	US-2,028,635	01-21-1936	Wappler
	A93	US-2,011,169	08-13-1935	Wappler
	A94	US-1,867,624	07-19-1932	Hoffman
	A95	US-1,727,495	12-08-1926	Wappler
	A96	US-207,932	09-10-1878	Alvord

Examiner Signature		Date Considered	
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	B1	DE 39 42 589	22.12.1989	Olympus Optical Co.	
	B2	EP 0791330 A2	08/27/1997	Cardiothoracic Systems, Inc.	
	B3	EP 0095727A1	12-07-1983	Purdue Research Foundation	
	B4	EP 0 642 764	13.09.1994	United States Surgical Corporation	
	B5	FR 1 370580	1962	Fourestier et al.	
	B6	GB 2 082 459	13.08.1981	Atrium Medical	
	B7	GB 2 195 540	18.09.1987	Anthony James Milne	
	B8	SU 510235	15.04.1976		
	B9	SU 1371689	20.03.1986		
	B10	WO 99/13936	03-25-1999	Comedius Incorporated	
	B11	WO 99/13785	03-25-1999	Comedius Incorporated	
	B12	WO 98/24488 A3	06-11-1998	Comedius Incorporated	
	B13	WO 98/24488 A2	06-11-1998	Comedius Incorporated	
	B14	WO 98/24378	06-11-1998	Comedius Incorporated	
	B15	WO 96/32882	10/24/1996	Heartport, Inc.	
	B16	WO 96/00038	01-04-1996	Comedius Corp.	

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ⁶
	C1	BARTOCCIONI, S., et al., Laparoscopic Harvesting of Right Gastroepiploic Artery for Coronary Artery Bypass Graft Performed Without Sterotomy [online], [retrieved on 10-5-1999] Retrieved from the internet <URL: http://www.ctsnet.org/doc/2628			
	C2	BENETTI, Federico, et al., "Video Assisted Coronary Bypass Surgery", J Card Surgery, 1995, pp. 620-625			
	C3	BERNHARD, Victor M. et al., "Cardiovascular Endoscopy: Historical Perspectives", Endovascular Surgery, 1989 W.B.			

Examiner Signature		Date Considered	
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		Saunders Company, pp. 13-30	
	C4	CARPENTIER, A., "Technique d'implantation de pace-maker par une voie d'abord abdominale sous-xyphoïdienne," <i>La Presse Medicale</i> , Masson et Cie, Editeurs, Paris, Vol. 76, No. 2, January 13, 1968, 2 pp.	
	C5	Comedicus Gets Approval to Sell Product in European Union, March 1, 1999, Swenson NHB Investor Relations, 4 pages	
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				Filing Date	October 29, 2003
				First Named Inventor	Albert K. Chin
				Art Unit	3731
				Examiner Name	Not Yet Known
Sheet	7	of	7	Attorney Docket Number	23488-07961

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
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